



[7590-01-P]

## NUCLEAR REGULATORY COMMISSION

[NRC-2020-0040]

**Information Collection: DOE/NRC Form 740M, Concise Note;**

**DOE/NRC Form 741, Nuclear Material Transaction Report;**

**DOE/NRC Form 742, Material Balance Report; and**

**DOE/NRC Form 742C, Physical Inventory Listing**

**AGENCY:** Nuclear Regulatory Commission.

**ACTION:** Renewal of existing information collection; request for comment.

**SUMMARY:** The U.S. Nuclear Regulatory Commission (NRC) invites public comment on the renewal of Office of Management and Budget (OMB) approval for an existing collection of information. The information collections are entitled, “DOE/NRC Form 740M, Concise Note; DOE/NRC Form 741, Nuclear Material Transaction Report; DOE/NRC Form 742, Material Balance Report; and DOE/NRC Form 742C, Physical Inventory Listing.”

**DATES:** Submit comments by **[INSERT DATE 60 DAYS FROM DATE OF PUBLICATION IN THE *FEDERAL REGISTER*]**. Comments received after this date will be considered if it is practical to do so, but the Commission is able to ensure consideration only for comments received on or before this date.

**ADDRESSES:** You may submit comments by any of the following methods:

- **Federal Rulemaking Web Site:** Go to <https://www.regulations.gov> and search for Docket ID **NRC-2020-0040**. For technical questions, contact the individual listed in the **FOR FURTHER INFORMATION CONTACT** section of this document.

- **Mail comments to:** David Cullison, Office of the Chief Information Officer, Mail Stop: T-6 A10M, U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001.

For additional direction on obtaining information and submitting comments, see “Obtaining Information and Submitting Comments” in the **SUPPLEMENTARY INFORMATION** section of this document.

**FOR FURTHER INFORMATION CONTACT:** David Cullison, Office of the Chief Information Officer, U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001; telephone: 301-415-2084; e-mail: [Infocollects.Resource@nrc.gov](mailto:Infocollects.Resource@nrc.gov).

**SUPPLEMENTARY INFORMATION:**

**I. Obtaining Information and Submitting Comments**

**A. Obtaining Information**

Please refer to Docket ID **NRC-2020-0040** when contacting the NRC about the availability of information for this action. You may obtain publicly-available information related to this action by any of the following methods:

- **Federal Rulemaking Web Site:** Go to <http://www.regulations.gov> and search for Docket ID **NRC-2020-0040**. A copy of the collection of information and related instructions may be obtained without charge by accessing Docket ID **NRC-2020-0040** on this Web site.

- **NRC’s Agencywide Documents Access and Management System (ADAMS):** You may obtain publicly-available documents online in the ADAMS Public Documents collection at <https://www.nrc.gov/reading-rm/adams.html>. To begin the search, select “[Begin Web-based ADAMS Search](#).” For problems with ADAMS, please contact the NRC’s Public Document Room (PDR) reference staff at 1-800-397-4209,

301-415-4737, or by e-mail to [pdr.resource@nrc.gov](mailto:pdr.resource@nrc.gov). For the convenience of the reader, instructions about obtaining materials referenced in this document are provided in the “Availability of Documents” section.

- **NRC’s Clearance Officer:** A copy of the collection of information and related instructions may be obtained without charge by contacting NRC’s Clearance Officer, David Cullison, Office of the Chief Information Officer, U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001; telephone: 301-415-2084; e-mail: [Infocollects.Resource@nrc.gov](mailto:Infocollects.Resource@nrc.gov).

## B. Submitting Comments

Please include Docket ID **NRC-2020-0040** in the subject line of your comment submission, in order to ensure that the NRC is able to make your comment submission available to the public in this docket.

The NRC cautions you not to include identifying or contact information in comment submissions that you do not want to be publicly disclosed in your comment submission. The NRC will post all comment submissions at <https://www.regulations.gov> as well as enter the comment submissions into ADAMS, and the NRC does not routinely edit comment submissions to remove identifying or contact information.

If you are requesting or aggregating comments from other persons for submission to the NRC, then you should inform those persons not to include identifying or contact information that they do not want to be publicly disclosed in their comment submission. Your request should state that the NRC does not routinely edit comment submissions to remove such information before making the comment submissions available to the public or entering the comment into ADAMS.

## II. Background

In accordance with the Paperwork Reduction Act of 1995 (44 U.S.C. Chapter 35),

the NRC is requesting public comment on its intention to request the OMB's approval for the information collection summarized below.

1. *The title of the information collection:* DOE/NRC Form 740M, Concise Note; DOE/NRC Form 741, Nuclear Material Transaction Report; DOE/NRC Form 742, Material Balance Report; and DOE/NRC Form 742C, Physical Inventory Listing.

2. *OMB approval number:* DOE/NRC Form 740M: 3150–0057. DOE/NRC Form 741: 3150–0003. DOE/NRC Form 742: 3150–0004. DOE/NRC Form 742C: 3150–0058.

3. *Type of submission:* Extension.

4. *The form number, if applicable:* DOE/NRC Forms 740M, 741, 742, and 742C.

5. *How often the collection is required or requested:* DOE/NRC Form 741, Nuclear Material Transaction Reports will be collected whenever nuclear material is shipped or received into the Material Balance Area; DOE/NRC Form 742, Material Balance Report will be collected on an annual basis; DOE/NRC Form 742C, Physical Inventory Listing will be collected on an annual basis; DOE/NRC Form 740M, Concise Note Forms are used when needed.

6. *Who will be required or asked to respond:* Persons licensed to possess specified quantities of nuclear material and entities subject to the U.S.-IAEA Caribbean Territories Safeguards Agreement (INFCIRC/366) are required to respond as follows:

Any licensee who ships, receives, or otherwise undergoes an inventory change of nuclear material is required to submit a DOE/NRC Form 741 to document the change. Additional information regarding these transactions shall be submitted through Form 740M, with Safeguards Information identified and handled in accordance with section 73.21 of title 10 of the *Code of Federal Regulations* (10 CFR), "Requirements for the Protection of Safeguards Information."

Any licensee who had possessed in the previous reporting period, at any one time and location, nuclear material in a quantity totaling one gram or more shall complete DOE/NRC Form 742. In addition, each licensee, Federal or State, who is authorized to possess, at any one time or location, one kilogram of foreign obligated source material, is required to file with the NRC an annual statement of source material inventory which is foreign obligated.

Any licensee, who had possessed in the previous reporting period, at any one time and location, special nuclear material in a quantity totaling one gram or more shall complete DOE/NRC Form 742C.

*7. The estimated number of annual responses:*

DOE/NRC Form 740M: 175.

DOE/NRC Form 741: 11,143.

*DOE/NRC Form 742: 344.*

*DOE/NRC Form 742C: 385.*

*8. The estimated number of annual respondents:*

DOE/NRC Form 740M: 40.

DOE/NRC Form 741: 344.

DOE/NRC Form 742: 344.

DOE/NRC Form 742C: 385.

*9. The estimated number of hours needed annually to comply with the information collection requirement or request:*

DOE/NRC Form 740M: 131.

DOE/NRC Form 741: 13,928.

DOE/NRC Form 742: 1,204.

DOE/NRC Form 742C: 1,490.

10. *Abstract:* Persons licensed to possess specified quantities of nuclear material currently report inventory and transaction of material to the Nuclear Materials Management and Safeguards System via the DOE/NRC Forms: DOE/ NRC Form 740M, Concise Note; DOE/ NRC Form 741, Nuclear Material Transaction Report; DOE/NRC Form 742, Material Balance Report; and DOE/ NRC Form 742C, Physical Inventory Listing. This collection is being revised to include approximately 25 entities subject to the U.S.-IAEA Caribbean Territories Safeguards Agreement (INFCIRC/366). Part 75 requires licensees to provide reports of nuclear material inventory and flow for entities under the U.S.-IAEA Caribbean Territories Safeguards Agreement (INFCIRC/366), permit inspections by Agreement (INFCIRC/366). The IAEA inspectors, give immediate notice to the NRC in specified situations involving the possibility of loss of nuclear material, and give notice for imports and exports of specified amounts of nuclear material. These licensees will also follow written material accounting and control procedures. Reporting of transfer and material balance records to the IAEA will be done through the U.S. State system (Nuclear Materials Management and Safeguards System, collected under OMB clearance numbers 3150–0003, 3150–0004, 3150–0057, and 3150–0058.) The NRC needs this information to implement its international obligations under the U.S.-IAEA Caribbean Territories Safeguards.

### **III. Specific Requests for Comments**

The NRC is seeking comments that address the following questions:

1. Is the proposed collection of information necessary for the NRC to properly perform its functions? Does the information have practical utility?
2. Is the estimate of the burden of the information collection accurate?
3. Is there a way to enhance the quality, utility, and clarity of the information to be collected?

4. How can the burden of the information collection on respondents be minimized, including the use of automated collection techniques or other forms of information technology?

#### **IV. Availability of Documents**

The supplemental documents related to each information collections are identified in the following table and are available to interested persons in ADAMS.

<b>DOCUMENTS</b>	<b>ADAMS ACCESSION NO.</b>
Supporting statement and DOE/NRC Form 740M, "Concise Note" (3150-0057)	ML20106F197 and ML20021A120
Supporting statement and DOE/NRC Form 741, "Nuclear Material Transaction Report" (3150-0003)	ML20021A123 and ML20021A121
Supporting statement and DOE/NRC Form 742, "Material Balance Report" (3150-0004)	ML20024D131 and ML20024D128
Supporting statement and DOE/NRC Form 742C, "Physical Inventory Listing" (3150-0058)	ML20106F177 and ML20024D129
NUREG/BR-0006, Revision 8 (3150-0003; 3150-0057)	ML18123A473
NUREG/BR-0007, Revision 7 (3150-0004; 3150-0058)	ML18123A462
D-24 Personal Computer Data Input for Nuclear Regulatory Commission Licensees	ML20092K107

Dated: April 23, 2020

For the Nuclear Regulatory Commission.

David C. Cullison,  
NRC Clearance Officer,  
Office of the Chief Information Officer.

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